



DOCKET NO. 4644A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9  
10-2-03

In re patent application of

Applicant: Kakwani, et al.  
Serial No.: 09/816,912  
Date Filed: March 23, 2001  
Title: EXHAUST SYSTEMS FOR ENHANCED REDUCTION OF NITROGEN  
OXIDES AND PARTICULATES FROM DIESEL ENGINES  
Art Unit: 3748  
Examiner: Tran, Binh Q.

September 23, 2003

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed.

(a) This is a supplemental Information Disclosure Statement under 37 C.F.R. 1.99; a previous Information Disclosure Statement was filed March 23, 2001.

(b) This supplemental Information Disclosure Statement is being submitted as the information was brought to the attention of applicant.

(c) The art was encountered in the course of the prosecution of the corresponding international patent application(s) under the Patent Cooperation Treaty No. US filed                     .

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: September 23, 2003

Phyllis Nova

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(d) Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant reserves the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) \_\_\_\_\_ Copy of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) \_\_\_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- (c) \_\_\_\_\_ Abstracts by recognized library services.

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) \_\_\_\_\_ Within 3 months of the filing date or date of entry into the National Stage.
- (b) \_\_\_\_\_ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070 for consideration of this Statement.
- (c)   X   After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
  - (1) \_\_\_\_\_ The required certification is given below, or
  - (2) \_\_\_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3)   X   Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070.

(d)        After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1)        Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2)        Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 05-1070.

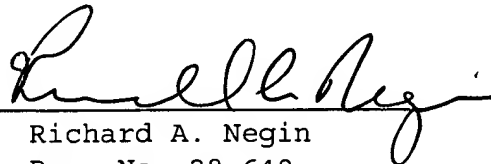
4. Certification (if applicable)

(a)        The undersigned hereby certifies that each item of information contained in a communication from a foreign patent office was cited by the foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b)        The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is authorized to charge any fee which may be required for consideration of this Statement or credit any overpayment to Deposit Account No. 05-1070.

Respectfully Submitted,

By   
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